

Policy Document

ELHA POLICY

Date Issued	March 2013
Department	Corporate
Title	Comments and Complaints Policy
Objective	To ensure that comments and complaints are used to drive service improvements; that we deal with all complaints appropriately within our timescales and that we resolve complaints wherever possible
Responsible	Chief Executive
Next Review Date	March 2023

1.0 POLICY STATEMENT

- 1.1 Our Vision is to provide “Healthy Happy Homes”. We recognise that we will not always get things right and we value feedback from customers. Effective handling of comments and complaints can improve the quality of services we deliver to our customers and help foster a culture of continuous improvement throughout the Association.
- 1.2 Anyone who receives, requests or is affected by our services can make a comment or complaint.
- 1.3 We will make it easy for customers to make a comment or complaint and ensure that effective records enable accurate and meaningful monitoring and reporting of outcomes, particularly where a comment or complaint has led to a change in a process or policy.
- 1.4 We will investigate complaints and resolve them as closely as possible to the point of frontline service delivery. We will ensure that the person making the complaint is kept fully informed throughout the complaint handling process.
- 1.4 Where complaints are raised about more than one service area we will ensure a single co-ordinated response is made.
- 1.5

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- 1.6 If a customer expresses dissatisfaction but does not want to complain, they will be encouraged to submit their complaint in line with the Complaints Handling Procedure. This will ensure feedback on the outcome of the complaint is communicated to the customer.
- 1.7 If a customer insists they do not wish to complain the issue will be recorded as an anonymous complaint to ensure completeness of our complaints data and allow us to fully consider the matter. This will also provide us with an accurate position regarding complaints for analysis and learning purposes.
- 1.8 If a customer is unable or reluctant to make a complaint, we will accept complaints brought by third parties as long as the customer has given their personal consent.
- 1.90 We will only normally consider complaints that are raised within six months of when the customer first became aware of the issue about which they are making a complaint.
- 1.10 This Policy reflects the Scottish Public Services Ombudsman (SPSO) Model Complaint Handling Procedure for Registered Social Landlords (RSLs) which we have fully adopted.

2.0 DEFINITIONS

- 2.1 Our definition of a complaint is: *‘Any expression of dissatisfaction by one or more members of the public about our action or lack of action, or about the standard of service provided by or on behalf of us’.*
- 2.2 A comment may be positive or negative and will often be expressed as an opinion or suggestion, for example “That should not have happened” or “You need to improve....” or “All of your tenants should be told about this excellent service”
- 2.3 A “Significant Performance Failure” is defined by the Scottish Housing Regulator (SHR) as something that a landlord does or fails to do that puts the interests of its tenants at risk, and which the landlord has not resolved. The issue may be raised with us in the first instance and referred to the SHR only if we fail to deal with the matter effectively.

3.0 LEGAL AND REGULATORY FRAMEWORK

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- 3.1 The Public Services Reform (Scotland) Act 2010 places a statutory duty on Registered Social Landlords to comply with the SPSO Model Complaint Handling Procedure published for the housing sector.
- 3.2 The Scottish Housing Regulator will monitor compliance with the model Complaint Handling Procedure.
- 3.3 The Scottish Social Housing Charter came into effect on 1st April 2012 and places a duty upon RSLs to make it easy for tenants and other customers to make complaints and provide feedback on services, using that information to improve services and performance, and letting people know what they have done in response to complaints and feedback.
- 3.4 This policy complies with the requirements of the Equalities Act 2010 and seeks not to consciously or unconsciously discriminate or to have an adverse effect upon any of the nine protected characteristic groups outlined in the Act.

4.0 COMMENTS

- 4.1 Negative comments , will usually be recorded as complaints and dealt with through our Complaints Handling Process.
- 4.2 Positive comments and suggestions from tenants, applicants and other service users are also welcomed, as they can provide informal feedback on the standards we achieve, provide opportunities to improve existing services, and may also provide 'early warning' of issues that could evolve into complaints, allowing us to take early action.
- 4.3 We will record positive comments in the same way as negative comments and complaints and include them in our monitoring and reporting systems to ensure that all customer feedback is considered and acted upon where appropriate.

5.0 MONITORING AND REPORTING

- 5.1 We will monitor how comments and complaints are handled, how well we meet our target timescales and what changes we have made in response to complaints. Regular reports will be considered by our Business Management Team and Management Committee.
- 5.2 We will publish the outcome of complaints and the actions we have taken in response, on our website every quarter and annually in our performance report to tenants.

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5.3 We will also collate responses received from the comments cards we receive that we send out with each newsletter, and provide feedback to tenants on these comments where appropriate.

5.4

6.0 POLICY REVIEW

6.1 The Chief Executive will ensure that this policy is reviewed by the Management Committee every five years or following a review and amendments by SPSO to the model Complaint Handling Procedure.